



PATENT

Attorney Dkt. No. 7372/72249

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): ASAKO, et al.

Appln No.: 10/004,115

Filed: December 6, 2001

Title: PROCESS FOR PRODUCING  
OPTICALLY ACTIVE 4-HALO-3-  
HYDROXYBUTANOATE

Group Art  
Unit: 1651

Examiner: Not Yet Known

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited  
with the United States Postal Service as first class mail  
in an envelope addressed to: U.S. Patent and  
Trademark Office, Box Sequence, P.O. Box 2327,  
Arlington, VA 22202, on this date.

July 30, 02  
Date

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U.S. Patent and Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202

Sir:

Transmitted herewith is an amendment/reply in the above-identified application.

- ☒ Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosure
- ☒ Statement Concerning Sequence Listing
- ☒ Petition for Extension of Time
- ☒ Preliminary Amendment
- ☒ Written Sequence Listing
- ☒ Sequence Listing in computer readable form as required by 37 C.F.R. §1.821(e)
- ☒ No additional fee is required.

Fee Calculation For Claims As Amended

|                                   | As<br>Amended | Previously<br>Paid For | Present<br>Extra     | Rate       | Additional<br>Fee |
|-----------------------------------|---------------|------------------------|----------------------|------------|-------------------|
| Independent Claims                | 0             | 3                      | ** = 0               | x \$ 84.00 | = \$ 0.00         |
| Total Claims                      | 0             | 12                     | * = 0                | x \$ 18.00 | = \$ 0.00         |
| Fee for Multiply Dependent Claims |               |                        |                      | \$ 280.00  |                   |
| ** At least 3                     |               |                        | Total Additional Fee |            | \$ 0.00           |
| * At least 20                     |               |                        |                      |            |                   |

- ☐ A check in the amount of \$\_\_\_\_\_ is attached.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 06-1135.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. A duplicate copy of this sheet is enclosed.

July 30, 2002

Date

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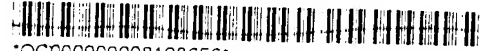
| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/004,115         | 12/06/2001          | Hiroyuki Asako        | 7372/72249             |



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CONFIRMATION NO. 3895

FORMALITIES LETTER



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*due 4/29/03*  
*gm*

Date Mailed: 05/29/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

*15/02  
D.C.*

*fehs*  
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PART 1 - ATTORNEY/APPLICANT COPY